

Agenda item 3.vi.b

Report to:	Board of Directors Part I	Date: 07 April 2022
Report from:	Trust Secretary	
Principal Objective/ Strategy and Title:	GOVERNANCE: Board Committee Self-Assessments 2021/22	
Board Assurance Framework Entries:	Governance - All	
Regulatory Requirement:	Code of Governance Audit Committee Handbook	
Equality Considerations	None believed to apply	
Key Risks:	Insufficient assurance regarding performance, key risks etc	
For:	Note and Approve	
Report to:	Board of Directors	

1. Purpose

For the Board and Trustee Board to note the outcome of the self-assessment of Board Sub-Committees.

2. Background

Full discussions relating to Board Committee responsibilities, membership and frequency of meetings have been considered at Board Committees as part of the review of Committee Terms of Reference and annual self-assessment for 2021/22.

3. Committee Self-Assessments

The Audit Committee undertook its annual Committee self-assessment at the January meeting, the self-assessment follows the format suggested in the '*NHS Audit Committee Handbook*'. All other Board/Trustee Committees have undertaken self-assessments against their agreed checklists. Copies of the summary assessments for the Audit Committee, Performance Committee, Quality and Risk Committee, Strategic Projects Committee and the CFC of the Trustee Board are included in the Board data pack.

The Remuneration Committee has met on three occasions in 2021 and the work of the Committee will be set out in the Remuneration Report within the 2021/22 Annual report.

Following the reviews Committees have also updated their Terms of Reference and these were approved by the Board on 3 March 2022.

4. Board Workshops and Self-Assessments

The Board of Directors have undertaken three development sessions in 2021/22:

- 6 May 2021: Equality, Diversity and Inclusion (S. Fanshaw, Diversity by Design)
- 2 December 2021: Self-Assessment against the Well Led Key Lines of Enquiry
- 3 March 2022: Review of Principal Risks and Risk Appetite.

The March session was also used to remind Board members of the requirements for compliance with Fit and Proper Persons Regulations and requirements for Director's annual declarations.

5. Feedback from Governors

Council of Governors meetings have continued to be held virtually in 2021/22 and have been well attended and well received, recognising the challenges that have continued over the last year.

Council of Governors meetings have been regularly attended by the Chairman and Non-Executive Directors supported by Executive Directors. We have shared with the CoG the plans to update the reporting to allow NEDs to contribute to the CoG on a more regular basis and this will be planned through 2022/23 to ensure that all of the NEDs are enabled to meet with the CoG to share their work.

We have held informal briefings with Governors and the lead Governor has been able to join NED briefings providing updates to the full CoG as required. We have also included Governors in our weekly 'NewsBites' updates.

With the move back to monthly Board meetings we have seen continued engagement of Governors using the remote access to join the Board and Governors have advised that they would like that facility to continue once meetings are brought back on site.

Governors are included in the Board Committee self-assessments where they attend Board Committees so that they can contribute to review through that process.

We use multisource feedback processes for the Chair, and this has been extended to individual performance reviews for Executive Directors (annually) and Non-Executive Directors (in alternate years). The outcome of individual IPR reviews are reported to the Remuneration Committee and Appointments Committee meetings in May each year. All multisource feedback requests include requests for feedback on behalf of the Council of Governors.

6. Recommendation

The Board is asked to note the output of the 2021/22 Board self-assessment processes.