

Agenda item 3.iii

Report to:	Trust Board	Date: 5 May 2022
Report from:	Trust Secretary	
Principal Objective/ Strategy and Title	Board Assurance Framework (BAF) update	
Board Assurance Framework Entries	Performance: 678, 1021, 1853, 1854, 2829, 2904, 2985, 3009, 3074 Quality & Risk: 675, 730, 742, 1929, 2532, 3040 Strategic Projects: 858, 2833, 2854, 2901, 3008 Closed Risks: 841	
Regulatory Requirement	Well Led/Code of Governance: To have clear and effective processes for assurance of Committee risks	
Equality Considerations	Equality has been considered but none believed to apply	
Key Risks	Leadership; Governance; Failure to Identify and manage risk	
For:	Review	
Assurance Evidence	November 2018 Internal Audit review of Risk Management and Assurance Framework - outcome: Substantial Assurance (third line)	

1. Purpose

To provide the Board with a summary of BAF risks and actions for risks above appetite and a copy of the BAF tracker report for April 2022.

2. Background

The Board Assurance Framework (BAF) forms a part of the overall risk management and assurance process of the Trust and allows the Board to maintain oversight of the principal risks to delivery of the Trust's strategic objectives. BAF reporting is managed through the DATIX system and risks are escalated through the relevant Trust Committee structures.

Action plans for BAF risks are reviewed on a monthly basis and are captured within the Trusts' Datix reporting system. Executive Directors review open BAF risks on a monthly basis. The BAF tracker includes the Residual Risk Rating (RRR) and the long term RRR trend for each BAF.

3. Key issues and updates

3.1 Committee BAF Report

The BAF report is attached setting out the movement in ratings (Appendix 1). The executive summary sets out key movements for BAF risks. The control mechanisms and assurance are reviewed at Board Committee and commentary on Committee review is included in Committee Chair's reports.

3.2 Risk Appetite Statements

Risk appetite statements for our strategic objectives were developed from the workshop on the 3 March 2022 (Appendix 2). These have been reviewed by the Executive and at Board Committees and are brought for approval. Following approval these will be shared through the Trust governance structures.

4. Recommendation:

The Board is requested to:

- Review the Board Assurance Framework and consider the need for recommendations relating to the scope, rating, remedial actions or assurance in relation to the risks identified.
- To approve the risk appetite statements.