

### Agenda item 3.i

Report to:	Board of Directors	Date: 02 May 2019
Report from:	Trust Secretary	
Principal Objective/ Strategy and Title	Board Assurance Framework Report April 2019	
Board Assurance Framework Entries	ALL	
Regulatory Requirement	NHS Foundation Trust Code of Governance Single Oversight Framework for NHS providers	
Equality Considerations	Equality has been considered but none believed to apply	
Key Risks	Leadership; Governance; Failure to Identify and manage risk	
For:	Approval	

#### 1. Purpose

The Board Assurance Framework (BAF) forms a part of the overall risk management and assurance process of the Trust and allows the Board to maintain oversight of the principal risks to delivery of the Trust's strategic objectives.

#### 2. Background

Risk management is an integral part of good management practice. Risks that arise within the Trust are assessed using the NPSA model risk assessment matrix (Appendix C) and are reported through the DATIX system. Risks that are escalated to the BAF are managed through the relevant Trust Committees and have an identified Executive lead. Executive Directors review their open BAF risks on a monthly basis and Trust Committees provide assurance through their reports to the Board that they have sufficient information and understanding to provide oversight of their risks.

The BAF report maps all open BAF risks to our strategic objectives risk by number and severity of risk. The BAF tracker reports included at Appendix A and B set out BAF risks within and above risk appetite. The BAF tracker includes an indicator for movements in month, a long term trend line for each open risk, and identifies the Executive Lead and the Committee responsible for each BAF risk.

An executive summary is included on slide 1 setting out key changes in individual BAF risks. The tracker report reflects the month 12 position for 2018/19. There are limited movements in risk ratings reported in month however the agreement of the 2019/20 Operational Plan generates the consolidation of BAF risks which have been previously seen and approved by Board. Full details on closure of risks and & mapping to consolidated objectives were included in the FRSA report to the Performance Committee. The highest levels of residual risks remain in the delivery of financial objectives; delivery of the hospital move, and delivery of patient targets.

#### 3. Recommendation:

The Board is requested to review the BAF report and consider the level of assurance provided through the Board Committee structure and whether there is any requirement to further revise BAF risk assessments.