

Agenda item 3.vi

Report to:	Board of Directors Part I	Date: 01 April 2021
Report from:	Trust Secretary	
Principal Objective/ Strategy and Title:	GOVERNANCE: Board Committee Self-Assessments 2020/21	
Board Assurance Framework Entries:	Governance - All	
Regulatory Requirement:	Code of Governance Audit Committee Handbook	
Equality Considerations	None believed to apply	
Key Risks:	Insufficient assurance regarding performance, key risks etc	
For:	Note and Approve	
Report to:	Board of Directors	

1. Purpose

For the Board and Trustee Board to note the outcome of the self-assessment of Board Sub-Committees.

2. Background

Full discussions relating to Board Committee responsibilities, membership and frequency of meetings have been considered at Board Committees as part of the review of Committee Terms of Reference and annual self-assessment for 2020/21. Board Committee Chairs provided a summary of the reviews at the Board Development session in March 2021.

3. Committee Self-Assessments

The Audit Committee undertook its annual Committee self-assessment at the January meeting, the self-assessment follows the format suggested in the '*NHS Audit Committee Handbook*'. All other Board/Trustee Committees have also undertaken self-assessments against their agreed checklists.

Copies of the assessments for the Audit Committee, Performance Committee, Quality and Risk Committee, Strategic Projects Committee and the Charitable Funds Committee are included in the Board data pack. The Remuneration Committee has not met in 2021 and so its self-assessment is outstanding, however the Board should note that it met on two occasions in 2020 and the work of the Committee will be set out in the Remuneration Report within the 2020/21 Annual report.

4. Board Workshop and Self-Assessment

The Board of Directors met in workshop in March 2021. At this session the Board considered the Board Self-Assessment using the HQIP/Good Governance Institute Self-Assessment Maturity Matrix. It also considered the feedback from Committee Self Assessments and undertook a review of its Risk Appetite.

The session was also used to remind Board members of the requirements for compliance with Fit and Proper Persons Regulations and requirements for Director's annual declarations.

5. Feedback from Governors

Council of Governors meetings have been held virtually in 2020/21 and have been well attended and well received, recognising the challenges that have been faced over the

last year. The Council of Governors meetings have been regularly attended by the Chairman and Non-Executive Directors supported by Executive Directors.

We have also received positive feedback on the informal Governor briefings that were held over the year. A regular informal NED and Governor Briefing session has been established this and is held prior to Board Development sessions. These have been well received by Governors and has allowed for greater visibility for NEDs at a time when more of the work of the Board has been undertaken remotely.

Governors are included in the Committee self-assessment process where they attend Board Committees so that they can contribute to review through that process.

The Appointments Committee has adopted the multisource feedback process for the Chair and has extended this to the individual performance reviews for Non-Executive Directors. The outcome of individual IPR reviews will be reported to the Appointments Committee meeting in May. All multisource feedback requests include feedback given on behalf of the Council of Governors.

6. Recommendation

The Board is asked to note the output of the 2020/21 Board Committee self-assessment process.