



R&D SOP088 Clinical Trial Participants and Pregnancy

Document Title: Clinical Trial Participants and Pregnancy

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Summary of Amendments

Version Number	Modification:
1.0	New SOP to be reviewed

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Key Points of this Document

- This document sets out the procedures to be followed by all Royal Papworth Staff for the procedure for identifying and recording and reporting pregnancy events whilst patients are participating in a clinical trial.
- The SOP is applicable to Clinical Trial of Investigational Medicinal Product (CTIMP) research recruiting female trial subjects or female partners of male trial subjects who may become pregnant. This SOP applies to all researchers and Research & Development (R&D) personnel working on such a CTIMP.

1 Purpose and Contents

- a. This document defines the Trust's research procedures for the receiving of informed consent to follow up pregnant female study participants or the pregnant partner of a male study participant.
- b. The document details the process for reporting, duration of follow up and documents required for the reporting of pregnancy.

2 Roles & Responsibilities

- a. This Policy applies to all personnel that are conducting research at the Trust.
- b. It is the responsibility of all personnel involved in research at the Trust to ensure that they are familiar with and adhere to all current SOPs, and have signed on OpenClinica to confirm that the relevant SOP's have been read.
- c. The Principle Investigator of a research study is responsible for ensuring that every effort is made to gain informed consent for the follow up of a pregnancy. The actual procedure may be delegated to a responsible member of the research team deemed appropriately qualified by knowledge and training.

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3 Policy

- a. This SOP is mandatory and, as per the Trust's Information Governance and Records Management framework, non-compliance with it may result in disciplinary procedures.

4 Procedure

4.1 Duty and Responsibility of Staff

- a. The Investigator has responsibility for ensuring that the rights, dignity, safety and wellbeing of the research subject are given priority at all times and to ensure the safety of all staff and other research subjects.
- b. All trials staff and clinicians in contact with patients are responsible for noting adverse events, to include pregnancy, that are reported by the patient and making them known to appropriate medical staff.
- c. Patients entered into clinical trials must be encouraged from the outset of any study to contact their Research nurse/team at the time of a pregnancy event occurring.
- d. Trials staff will record and ensure follow up of all pregnancies that occur during a CTIMP.
- e. The Sponsor retains overall responsibility for the trial and the accurate identification, recording and follow-up of pregnancies on a CTIMP

4.2 Procedures for recording a Pregnancy

Although pregnancy itself is not considered an adverse event or a serious adverse event, the pregnant participant or the partner of a male participant should be followed until at least the end of the pregnancy to ensure absence of congenital anomaly or birth defect that may have resulted from maternal exposure or transmission of the study drug via semen following paternal exposure

- a. All pregnancies must be reported to the Sponsor.
- b. Should a participant or their partner become pregnant while taking part in a clinical trial of an investigational medicinal product (IMP), the protocol should be followed as to their continued participation.
- c. The participant must be followed-up at 6 months after the end of the pregnancy to verify whether there are any congenital anomalies or birth defects.

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- d. For further details, all trial protocols should describe in detail the process for monitoring and managing pregnancy occurrences in a trial.
- e. Pregnancy occurring in a participant or in a female partner of a male participant in a CTIMP, whilst not considered a Serious Adverse Event, does require monitoring and follow up by the investigator.
- f. The Chief Investigator (CI) or Principal investigator (PI) must collect all information to determine outcome, including spontaneous or voluntary termination, details of birth, and the presence or absence of birth defects, congenital abnormalities, or maternal and/or new born complications.
- g. In Trust-sponsored CTIMPs, any pregnancy should be reported by the Research Team to R&D (on behalf of the sponsor) using the R&D Pregnancy on a clinical trial form ([FRM079 Notification of Pregnancy in a CTIMP](#)) and followed up using the follow up form ([FRM080 Pregnancy on a Clinical Trial – Follow up Form](#)). In Trust-hosted CTIMPs, any pregnancy should be reported by the PI to their sponsor on the study specific forms or, if not available, using the R&D forms specified above. In addition to reporting to the sponsor, the PI should provide a copy to R&D.
- h. Any occurrences due to pregnancy that result in an SAE should also be reported as per SOP012 Adverse Event Reporting

5 Risk Management / Liability / Monitoring & Audit

- a. The R&D SOP Committee will ensure that this SOP and any future changes to this document are adequately disseminated.
- b. The R&D Department will monitor adherence to this SOP via the routine audit and monitoring of individual clinical trials and the Trust's auditors will monitor this SOP as part of their audit of Research Governance. From time to time, the SOP may also be inspected by external regulatory agencies (e.g. Care Quality Commission, Medicines and Healthcare Regulatory Agency).
- c. In exceptional circumstances it might be necessary to deviate from this SOP for which written approval of the Senior R&D Manager should be gained before any action is taken. SOP deviations should be recorded including details of alternative procedures followed and filed in the Investigator and Sponsor Master File.
- d. The Research and Development Directorate is responsible for the ratification of this procedure.



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Further Document Information

Approved by: <i>Management/Clinical Directorate Group</i>	Research and Development Directorate						
Approval date: <i>(this version)</i>	Current approved version date						
Ratified by Board of Directors/ Committee of the Board of Directors:	STET						
Date:	N/A						
This document supports: <i>Standards and legislation</i>	Medicines for Human Use (Clinical Trials) Regulations 2004 and all associated amendments. UK Policy Framework for Health and Social Care Research (2018)						
Key related documents:	Trust Research Policy Trust Policy DN1 Document Control Procedures						
Equality Impact Assessment: Does this document impact on any of the following groups? If YES, state positive or negative, complete Equality Impact Assessment Form available in Disability Equality Scheme document DN192 and attach.							
Groups	Disability	Race	Gender	Age	Sexual orientation	Religious & belief	Other
Yes/No	NO	NO	NO	NO	NO	NO	NO
Positive/Negative							
Review date:	January 2025						

I certify the contents of this SOP has been reviewed and ratified

DocuSigned by:
Dr Patrick Calvert
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Signed by Dr Patrick Calvert, Clinical Director of R&D

11-Feb-2022
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Date