



Report to:	Trust Board	07 November 2024
Report from:	Associate Director of Corporate Governance	
Principal Objective/ Strategy and Title	Board Assurance Framework Report	
Board Assurance Framework Entries	All	
Regulatory Requirement	Well Led/Code of Governance: To have clear and effective processes for assurance of risk to delivery of strategic objectives	
Equality Considerations	Equality has been considered but none believed to apply	
Key Risks	Leadership; Governance; Failure to Identify and manage risk	
Assurance Evidence	October 2018 Internal Audit review of Risk Management and Assurance Framework - outcome: Substantial Assurance (third line) / October 2021 Risk Maturity – Advisory Review	
For:	Review and Approve	

1. Purpose

To provide the Trust Board with a summary of its BAF risks and the mitigations in place. Attached are:

- Appendix 1 – BAF Tracker
- Appendix 2 – BAF Report.

2. Headlines

Please note the following (all updates/revisions highlighted in red in Appendix 2):

- BAF 2904: Achieving financial balance at ICS level: The risk rating was **increased** from 12 (C4 x L3) to 16 (C4 x L4) in September 2024 to reflect the deficit position YTD of the ICS and the scale of recovery actions required in partner organisations to achieve a system breakeven position for 2024/25.
- BAF 858: Optimisation and Development of the Electronic Patient Record (EPR) System: The risk rating was **decreased** from 12 (C4 x L3) to 8 (C4 x L2) in October 2024 to reflect the approval of the EPR Outline Business Case by the Trust Board of Directors in October 2024.
- BAF 1854: Unable to recruit number of staff with the required skills/experience: The risk rating was, after a review by the Executive Team, **decreased** from 16 (C4 x L4) to 12 (C4 x L3) in October 2024. The Executive Directors (EDs) Committee agreed to the reduction in the light of sustained reduction in vacancy rates across a number of departments/staff groups and healthy pipelines. **NB:** This will be reviewed at the November 2024 Workforce Committee meeting.

- iv. BAF 742: Failure to meet safer staffing (NICE guidance and NQB): In October 2024, the Quality and Risk Committee **agreed** to the transfer of the risk entry to the Workforce Committee.

3. For Noting

- i. The Trust Board **approved** the transfer of BAF 3261: (Industrial Relations: Industrial Acton) Performance Committee to the Workforce Committee in September 2024. This is because the risk to the impact on service delivery has reduced, while the risk to workforce relations has heightened.

4. Recommendation:

The Board is requested to:

- i. Review and approve the **transfer** of BAF 742 from the Quality and Risk Committee to the Workforce Committee.
- ii. Review the updates.